

~~SENT TO TRADE~~
In re Application of:
ATHERTON, et al.

Filed: July 30, 2001

**For: SYSTEM AND METHOD FOR
IDENTIFYING ONE OR MORE
OPTIMUM CONFIGURATIONS OF A
DATA PROCESSING SYSTEM**

Attorney Docket No. **RPS920010041US1**

Examiner: Unknown

Group Art Unit: 2185

Assistant Commissioner for Patents
Washington, D. C. 20231

Applicants request that the information listed on the attached Substitute Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. 1.97. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

I hereby certify that this paper is being deposited with the United States Postal Service under 37 CFR 1.8(a) as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D. C. 20231, on 9/27, 2001.

Jena Faris

(Typed or printed name of person mailing paper of fee)

SIGNATURE of person mailing paper or fee

No fee is believed to be necessary; however, in the event that any additional fee is required, please charge it to **IBM Deposit Account Number 50-0563**.

Respectfully submitted,

A handwritten signature in cursive script, reading "Brian F. Russell", is written over a horizontal line.

Brian F. Russell,

Reg. No. 40,796

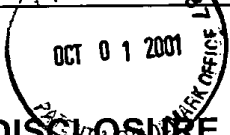
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Substitute form 1449/PTO				<div style="text-align: center;">  </div>		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known		
				Application Number	09/918,330	
				Filing Date	July 30, 2001	
				First Named Inventor	Atherton et al.	
				Group Art Unit	2185	
				Examiner Name	n/a	
Sheet	1	of	1	Attorney Docket Number	RPS920010041US1	
U.S. PATENT DOCUMENTS						
Examiner Initials	IDS Doc #	U.S. Patent Document Number	Name of Patentee or Applicant		Date of Publication MM-DD-YYYY	
	AA	4,849,879	Chinnaswamy et al.		07-18-1989	
	AB	5,276,877	Friedrich et al.		01-04-1994	
	AC	5,619,701	Neti		04-08-1997	
	AD	5,884,075	Hester et al.		03-16-1999	
	AE	5,964,871	Hester et al.		10-12-1999	
	AF	5,991,826	McGee et al.		11-23-1999	
	AG	6,119,185	Westerinen et al.		09-12-2000	
	AH	6,125,408	McGee et al.		09-26-2000	
	AI	6,178,502	Caswell et al.		01-23-2001	
FOREIGN PATENT DOCUMENTS						
Examiner Initials	IDS Doc #	Office	Foreign Patent Document Number	Name of Patentee or Applicant	Date of Publication	English Translation
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	IDS Doc #	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				English Translation
Examiner Signature				Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.